

Reports Issued as at 31<sup>st</sup> December 2018

Audit Area	Audit Opinion	High Risk Recommendations		Comments
		Proposed	Agreed	
<b><i>Fundamental / High</i></b>				
Social Services – governance	Effective			
Social Services – effective decision making	Effective			
Resources – governance	Effective			
Governance & Legal Services – governance	Effective			
Audit of Risk Management	Effective			
Planning Transport and Environment – effective decision making	Effective			
Communities – effective decision making	Effective			
Education – school governance	Criegiau	Effective		
	St. Illtyd's	Effective		
	Coed Glas	Effective		
	Hywel Dda	Effective		
	Ysgol Coed y Gof	Effective		
	Birchgrove	Effective with opportunity for improvement		
	Cardiff	Effective with opportunity for improvement		
	Radyr	Effective with opportunity for improvement		
	Danescourt	Effective with opportunity for improvement		
	Holy Family	Effective with opportunity for improvement		
	Peter Lea	Effective with opportunity for improvement		
	St. Fagan's	Effective with opportunity for improvement		
Council Tax (in year testing)	Effective			

Audit Area	Audit Opinion	High Risk Recommendations		Comments
		Proposed	Agreed	
Audit of Corporate Governance	Effective			
Education – partnerships and collaborative governance	Effective			
Economic Development – partnerships and collaborative governance	Effective			Draft report issued
Economic Development – governance	Effective with opportunity for improvement			
Economic Development – commissioning and procurement	Effective with opportunity for improvement			
Resources – commissioning and procurement	Effective with opportunity for improvement			
Resources – effective decision making	Effective with opportunity for improvement			
Social Services – commissioning and procurement	Effective with opportunity for improvement			
G&LS – partnerships and collaborative governance	Effective with opportunity for improvement			
Planning, Transport and Environment – commissioning and procurement	Effective with opportunity for improvement			
Economic Development – effective decision making	Effective with opportunity for improvement			
Communities – commissioning and procurement	Effective with opportunity for improvement			
Business Continuity	Effective with opportunity for improvement			
Social Services – partnerships and collaborative governance	Effective with opportunity for improvement			
Planning, Transportation and Environment – partnerships and collaborative governance	Effective with opportunity for improvement			Draft report issued
Income and Debtors	Effective with opportunity for improvement			Draft report issued
Education – budgeting and forecasting	Effective with opportunity for improvement			Draft report issued

Audit Area	Audit Opinion	High Risk Recommendations		Comments
		Proposed	Agreed	
Creditors – payment processing 2017/18	Effective with opportunity for improvement			Draft report issued
Fleet Management Information Technology (FMIT)	Insufficient with major improvement needed	2	2	
School Organisation Access and Planning	Insufficient with major improvement needed	3	3	
Sports Joint Venture (GLL)	Insufficient with major improvement needed	1		
Commercial Services – BM charging mechanism	Insufficient with major improvement needed	1		
Acting up payments and overtime at Lamby Way	Insufficient with major improvement needed	1		
Purchasing cards	Insufficient with major improvement needed	1		Draft report issued
Payroll review in Planning, Transport and Environment	Insufficient with major improvement needed	1		Draft report issued
Lamby Way stores	Unsatisfactory	2		Draft report issued
<b>Medium</b>				
Education - commissioning and procurement	Cantonian	Effective		
	Tongwynlais Primary	Effective with opportunity for improvement		
Cradle to Grave – Gulliver’s	Effective with opportunity for improvement			
Communities First	Effective with opportunity for improvement			
Front of House – Cardiff Castle	Effective with opportunity for improvement			

Audit Area	Audit Opinion	High Risk Recommendations		Comments
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Programmes and projects in CADT	Effective with opportunity for improvement			Draft report issued
Flood risk management	Effective with opportunity for improvement			Draft report issued
Llandaff CiW Primary School	Effective with opportunity for improvement			Draft report issued
Regional Social Care Training Unit	Effective with opportunity for improvement			Draft report issued
Baden Powell	Insufficient with major improvement needed			
Mileage and subsistence	Insufficient with major improvement needed			
St. David's	Insufficient with major improvement needed	2	2	
Safeguarding Arrangements	Insufficient with major improvement needed			Draft report issued
Income and banking control procedures at Lamby Way	Insufficient with major improvement needed			Draft report issued
Youth Foods – County Hall restaurant	Insufficient with major improvement needed			Draft report issued
Music Service	Unsatisfactory	5	5	
Income in school kitchens	Unsatisfactory	2	2	
Pontprenau	Unsatisfactory	6		Draft report issued
<b>Grants / Accounts / External Bodies</b>				
Joint Committees	Glamorgan Archives	Audits undertaken to support the Council's Statement of Accounts		
	Prosiect Gwyrdd			
	Port Health			
Cardiff Further Education Trust	Audit of Statement of Accounts			

Audit Area	Audit Opinion	High Risk Recommendations		Comments
		Proposed	Agreed	
Norwegian Church Preservation Trust 2015 - 2017	No assurance opinion given	4	4	
Welsh Local Government Association	Final report issued			
Flying Start	Final report issued			
City Deal	Final report issued			
Education – summary of thematic audits				Draft report issued
Corporate – partnerships and collaborative governance	Effective with opportunity for improvement			Draft report issued
<b>Other assignments</b>				
Rent Smart Wales	Consultation assignment – provision of training			
Asset management	No assurance opinion given			Briefing paper
Commercial Waste Recycling Centre	No assurance opinion given			Briefing report
Whitchurch High School	No assurance opinion given			Briefing paper
Social Services – care packages	Consultation assignment			
Gatehouse	No assurance opinion given			Briefing report
Review of banking arrangements – Shirenewton	No assurance opinion given			Briefing paper
Stock control at Lamby Way	Consultation assignment			
Income at Lamby Way	Consultation assignment			
Ysgol Coed y Gof	Provision of advice and guidance			
Training on revised Contract Standing Orders and Procurement Rules	Provision of training to Council officers			
Discretionary grants	No assurance opinion given			Briefing paper
NFI 2016	Review of data matches from NFI exercise 2016			
Insurance	CRSA			
Council Tax	CRSA			
Creditor payments and processing	CRSA			
Use of legal advisers	Consultation assignment			